



**බඹේ ගනුදෙනුකරු හඳුනාගන්න (KYC) දළ සටහන් ආකෘති පත්‍රය**  
**உங்கள் வாடிக்கையாளரை அறிந்து கொள்ளும் படிவம்**  
**Know Your Customer (KYC) Profile Form**

(2006 අංක 6 දරණ මුදල් ගනුදෙනු වාර්තා කිරීමේ පනත අනුව අවශ්‍යතාවයකි)  
 (நிதி பரிமாற்றம் அறிக்கையிடல் சட்டம் இல. 6 ஆண்டு 2006 தேவைப்பாட்டுக்கமைய)  
 (Requirement in terms of Financial Transaction Reporting Act No. 6 of 2006)

As required under the Financial Institutions (Customer Due Diligence) Rules, No. 01 of 2016 issued by the Financial Intelligence Unit of Central Bank of Sri Lanka in terms of Section 02 of the Financial Transactions Reporting Act, No 06 of 2006.

**Companies Registered Under Companies Act**

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Fixed Deposit No(s)												
BSP Code		Branch		Date	D	D	M	M	Y	Y	Y	Y

**Details of Company**

Name of Company	
Registered Address	
Company Registration No	
Total No. of Directors	
Name of the Company Secretary	

**Purpose for opening the account and the usage**

<input type="checkbox"/> Business Transactions	<input type="checkbox"/> Investment	<input type="checkbox"/> Other Special Purpose (Specify) .....
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**Details of all the Directors**

<b>01</b>	
Name (Mr/Mrs/Ms/.....)	
Address	
NIC No.	
Position Held	
<b>02</b>	
Name (Mr/Mrs/Ms/.....)	
Address	
NIC No.	
Position Held	
<b>03</b>	
Name (Mr/Mrs/Ms/.....)	
Address	
NIC No.	
Position Held	
<b>04</b>	
Name (Mr/Mrs/Ms/.....)	
Address	
NIC No.	
Position Held	
<b>05</b>	
Name (Mr/Mrs/Ms/.....)	
Address	
NIC No.	
Position Held	
<b>06</b>	
Name (Mr/Mrs/Ms/.....)	
Address	
NIC No.	
Position Held	

Details of Shareholders with 10% or More Voting Rights				
<b>01</b>				
Name				
Permanent/ Registered Office Address				
NIC/ Business Registration No		Legal Nature	Individual	Legal Entity
Nationality/ Country of Incorporation				
Number of Shares		Voting Rights as a percentage (%)		
<b>02</b>				
Name				
Permanent / Registered Office Address				
NIC/ Business Registration No		Legal Nature	Individual	Legal Entity
Nationality/ Country of Incorporation				
Number of Shares		Voting Rights as a percentage (%)		
<b>03</b>				
Name				
Permanent / Registered Office Address				
NIC/ Business Registration No		Legal Nature	Individual	Legal Entity
Nationality/ Country of Incorporation				
Number of Shares		Voting Rights as a percentage (%)		
<b>04</b>				
Name				
Permanent / Registered Office Address				
NIC/ Business Registration No		Legal Nature	Individual	Legal Entity
Nationality/ Country of Incorporation				
Number of Shares		Voting Rights as a percentage (%)		

List of Subsidiaries/ Other Connected Business Associates		
<b>01</b>		
Name of Company		
Registered Address		
Nature of Business		
Business Registration No.		Percentage (%) of voting rights held by the Company
<b>02</b>		
Name of Company		
Registered Address		
Nature of Business		
Business Registration No.		Percentage (%) of voting rights held by the Company
<b>03</b>		
Name of Company		
Registered Address		
Nature of Business		
Business Registration No.		Percentage (%) of voting rights held by the Company

Financial Information		
Note: If a new establishment, the projected financial data should be completed under the caption "Current Year"		
Are the Audited Financial Statements for last two years available?	Yes	No
<b>Description (Rs)</b>	<b>Current Year</b>	<b>Previous Year</b>
Annual Sales Turnover		
Net Profit/ Loss		
Paid-up Capital and Accumulated Profit		

Details of Bankers			
Bank		Bank	
Branch		Branch	
Account No.		Account No.	

Source of Funds: Expected source and nature of credits into the account	
<input type="checkbox"/> Business Profits <input type="checkbox"/> Commission Income <input type="checkbox"/> Interest/ Investment Income <input type="checkbox"/> Sale/ Business Turnover	<input type="checkbox"/> Sale of property/assets <input type="checkbox"/> Others (Please specify) .....

Anticipated Volumes : Expected/Usual average volumes of deposits into the account in Rupees per month			
<input type="checkbox"/> Less than Rs. 500,000	<input type="checkbox"/> Rs. 500,001 to Rs. 1,000,000	<input type="checkbox"/> Rs. 1,000,001 to Rs.5,000,000	
<input type="checkbox"/> Rs. 5,000,001 to Rs. 10,000,000	<input type="checkbox"/> Rs. 10,000,001 to Rs. 25,000,000	<input type="checkbox"/> Rs. 25,000,001 and above	

**Assets Owned by the Company (Not required, if the Latest Audited Accounts are available)**

☐ Property/Premises    ☐ Investments    ☐ Motor Vehicles    ☐ Financial Assets    ☐ Others (specify) .....

**Documents Required**

**We forward herewith the following documents relevant to the account opening request**

(Tick '✓' as appropriate)

	Enclosed	Not Applicable
Certified Copy of Certificate of Incorporation		
Certified Copy of Form - 40 (Registration of an existing company) or Form - 1 (Registration of a company)		
Certified Copy of Form – 20 (Change of Directors / Secretary and Particulars of Directors / Secretary)		
Certified Copy of Form – 13 (Change of Registered Office Address, if any)		
Certified Copy of Articles of Association		
Certificate to commence business (for Public Quoted Companies)		
Certified Copy of Board Resolution authorizing the opening of the account and Authorized Signatories (specimen format available)		
Copy of National Identity Card / Driving License / Valid Passport copies of all Directors and Authorized Signatories		
Company KYC Form		
Individual KYC Forms of all Directors and Authorized Signatories		
Latest Audited Accounts, if available		
Certified Copy of Form - 44 (Full address of the registered or principal office of a company incorporated outside Sri Lanka and its principal place of business established in Sri Lanka), if applicable		
Certified Copy of Form - 45 (List and particulars of the Directors of a company incorporated outside Sri Lanka with a place of business established in Sri Lanka), if applicable		
Copy of the Board of Investment Agreement if a Board of Investment approved company		
Copy of the Export Development Board (EDB) approved letter if EDB approved company		
Declaration of Beneficial Ownership		

**Declaration**

We confirm that the information provided above is correct and accurate and we are duly authorized to provide the information referred to above on behalf of the Company. We further undertake to keep Vallibel Finance PLC duly informed, as soon as possible, of change to the information provided above.

Name		Name	
Designation		Designation	
Signature on Rubber Stamp		Signature on Rubber Stamp	
Date	D   D   M   M   Y   Y   Y   Y	Date	D   D   M   M   Y   Y   Y   Y

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1. Does the customer or any of its Directors appear in a Sanction List (UNSCR 1373 / 1267) or any other Alert List:

☐ Yes

☐ No

If yes (Specify): .....

2. Overall risk rating according to Risk Profile Form:

☐ High

☐ Medium

☐ Low

Senior Manager's approval (If required) .....

3. Other Remarks:

Name of Officer and Employment No:

..... Signature	..... Date
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